



GOVERNANCE COMMITTEE MEETING

February 12, 2024

Time: 12:00 p.m.

CityPlace Building, 50 West Main Street

1. Call meeting to order
2. Approval of Minutes of August 7, 2023
3. Public Comments
4. Article 78- Conflict of Interest Review
5. Other Business
6. Adjournment



COUNTY OF MONROE
COMIDA

INDUSTRIAL DEVELOPMENT AGENCY

GOVERNANCE COMMITTEE MINUTES
December 19, 2023

Time & Place: 11:00 a.m. at City Place, 50 West Main Street

Board Present: J. Popli, A. Burr, J. Alloco, N. Jones

Also Present: R. Baranello, Esq., R. Finnerty, A. Clark, A. Liss, T. Milne, R. King

Chair Popli called the meeting to order at 11:06 a.m.

The draft minutes of the August 7, 2023 meeting were presented. On motion by J. Alloco, second by A. Burr, all aye, the minutes were unanimously approved.

The committee reviewed and discussed all of the annual professional service contracts between COMIDA and individual vendors. Each contract is subject to annual board review.

On motion by J. Alloco, second by A. Burr, all aye, motion carried to recommend the full board approve the Auditing Services Contract to perform the 2023 financial audit per the proposal.

On motion by J. Alloco, second by A. Burr, all aye, motion carried to recommend the full board approve the Accounting & Chief Financial Officer Contract to provide accounting and chief financial services for fiscal year 2024.

On motion by A. Burr, second by J. Alloco, all aye, motion carried to recommend the full board approve the Local Labor Monitoring Contract to provide local labor monitoring services for fiscal year 2024.

On motion by J. Alloco, second by A. Burr, all aye, motion carried to recommend the full board approve the Local Labor Exemption Processing Contract to process local labor exemptions for fiscal year 2024. R. Baranello, Esq., left the room for the next motion.

On motion by A. Burr, second by J. Alloco, all aye, motion carried to recommend the full board approve the Legal Services Contract to provide legal services for fiscal year 2024.

The committee discussed the 2024 Meeting Schedule.

There being no further business to discuss, on motion by A. Burr, second by N. Jones, all aye, the meeting of the Governance Committee was adjourned at 12:00 p.m.

COUNTY OF MONROE INDUSTRIAL DEVELOPMENT AGENCY

CONFLICT OF INTEREST POLICY

The members of the board (the "Board") of the County of Monroe Industrial Development Agency (the "Agency"), along with the officers and staff of the Agency shall comply with and adhere to the provisions of this Conflict of Interest Policy and related Code of Ethics Policy.

All Board Members and employees should be provided with this Conflict of Interest Policy upon commencement of employment or appointment and required to acknowledge that they have read, understand and are in compliance with the terms of the policy. Board members and employees should review on an ongoing basis circumstances that constitute a conflict of interest or the appearance of a conflict of interest, abide by this policy and seek guidance when necessary and appropriate.

This policy is intended to supplement, but not replace, any applicable state and federal laws governing conflicts of interest applicable to public authorities.

Conflicts of Interest:

A conflict of interest is a situation in which the financial, familial, or personal interests of a director or employee come into "actual" or "perceived" conflict with their duties and responsibilities with the Authority.

"Perceived" conflicts of interest are situations where there is the appearance that a board member and/or employee can personally benefit from actions or decisions made in their official capacity, or where a board member or employee may be influenced to act in a manner that does not represent the best interests of the authority. The perception of a conflict may occur if circumstances would suggest to a reasonable person that a board member may have a conflict.

"Actual" conflicts of interest are situations where a director, officer or employee can personally benefit from actions or decisions made in their official capacity, or where a director, officer or employee is influenced to act in a manner that does not represent the best interests of the Agency. Perceived and an actual conflict should be treated in the same manner for the purposes of this Policy.

Board members and employees must conduct themselves at all times in a manner that avoids any appearance that they can be improperly or unduly influenced, that they could be affected by the position of or relationship with any other party, or that they are acting in violation of their public trust. While it is not possible to describe or anticipate all the circumstances that might involve a conflict of interest, a conflict of interest typically arises whenever a director or employee has or will have:

- A financial or personal interest in any person, firm, corporation or association which has or will have a transaction, agreement or any other arrangement in which the authority participates.
- The ability to use his or her position, confidential information or the assets of the authority, to his or her personal advantage.
- Solicited or accepted a gift of any amount under circumstances in which it could reasonably be inferred that the gift was intended to influence him/her, or could reasonably be expected to influence him/her, in the performance of his/her official duties or was intended as a reward for any action on his/her part.

- Any other circumstance that may or appear to make it difficult for the board member or employee to exercise independent judgment and properly exercise his or her official duties.

Outside Employment of Authority's Employees: No employee may engage in outside employment if such employment interferes with his/her ability to properly exercise his or her official duties with the authority.

PROCEDURES

Duty to Disclose: all actual and perceived conflicts of interests, including all material facts related to such conflict of interest (including the nature of the interest and information about the conflicting transaction) shall be verbally disclosed during any action taken at any meeting of the Board.

Determining Whether a Conflict of Interest Exists: The Governance Committee and/or Ethics Officer shall advise the individual who appears to have a conflict of interest how to proceed. The Governance Committee and/or Ethics Officer should seek guidance from counsel or New York State agencies, such as the Authorities Budget Office, State Inspector General or the Joint Commission on Public Ethics (JCOPE) when dealing with cases where they are unsure of what to do.

Recusal and Abstention: No board member or employee may participate in any decision or take any official action with respect to any matter requiring the exercise of discretion, including discussing the matter and voting, when he or she knows or has reason to know that the action could confer a direct or indirect financial or material benefit on himself or herself, a relative, or any organization in which he or she is deemed to have an interest. Board members and employees must recuse themselves from deliberations, votes, or internal discussion on matters relating to any organization, entity or individual where their impartiality in the deliberation or vote might be reasonably questioned, and are prohibited from attempting to influence other board members or employees in the deliberation and voting on the matter.

Records of Conflicts of Interest: The minutes of the authority's meetings during which a perceived or actual conflict of interest is disclosed or discussed shall reflect the name of the interested person, and that the interested person abstained due to a conflict of interest.

Reporting of Violations: Board members and employees should promptly report any violations of this policy to his or her supervisor, or to the public authority's ethics officer, general counsel or human resources representative, in accordance with the authority's Whistleblower Policy and Procedures.

Penalties: Any director or employee that fails to comply with this policy may be penalized in the manner provided for in law, rules or regulations.

Approved and adopted March 23, 2021.

Approved and adopted March 29, 2022.

Approved and adopted March 28, 2023.